JC03 Rec'd PCT/PTO 2 2 SEP 2009

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. 24 March 2003 (24.03.2003) 22 March 2004 (22.03.2004) PCT/US2004/008763 TITLE OF INVENTION CELL TARGETING METHODS AND COMPOSITIONS APPLICANT(S) FOR DO/EO/US CAPLAN, Arnold I. and DENNIS, James E. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. [X] This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. X The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) $\lfloor X floor$ is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. X is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unexecuted) X An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. X Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included (as recorded on $10~{\rm Feb}$. 10~04, Reel 014319, frame 048212. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification... A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	•	vn, see 37 CFR 1.5)	INTERNATIONAL API	PLICATION NO.	ATTORNEY'S DOCK CWR-7781P	1
20. Other A copy of an A Feb. 04, Reel	items or inform Assignment as 014319 Frame	ation: filed and recorded i	in the corresponding U.S. Pro		60/457,151, filed24-Mar-0	3. Recorded 10
The falls	wing fees hav	heen suhmitted			CALCULATIONS	PTO USE ONLY
The following fees have been submitted 21. X Basic national fee (37 CFR 1.492(a))\$300					\$ 300.00	
22. X Exam	ination fee (37	\$ 200.00				
All other situations					\$ 400.00	
					900.00	
TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE						
83 400	-17 /50		up to a whole number)	x \$250	\$ 0.00	
83 - 100 = -17 /50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$ 0.00	
1 CLAIMS	NU	MBER FILED	NUMBER EXTRA	RATE	\$	
* Total claims		36 - 20 =	116	x \$ 50	\$ 5,800.00	
Independent clai	ms	4 -3=	1	x \$200	\$ 200.00	
MULTIPLE DEP	ENDENT CLA	M(S) (if applicable)		+ \$360	\$ 0.00	
				CALCULATIONS =	\$ 6,900.00	
Applicant cl	aims small enti	ty status. See 37 CF	R 1.27. Fees above are redu	ced by 1/2.	3,450.00	
				SUBTOTAL =	\$ 3,450.00	<u></u>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$	0.00
TOTAL NATIONAL FEE =					\$	3,450.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$	0.00
		: \$	3,450.00			
	-				Amount to be refunded:	\$
					Amount to be charged	\$

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a. X	A check in the amount of 4	above fees is enclosed.							
b. 🗆	A duplicate copy of this sheet is enclosed.	t of \$ to cover the above fees.							
c. X	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.								
d. 🗆	Fees are to be charged to a credit card. WARNING: Information on the included on this form. Provide credit card information and authority	this form may become public. Credit card information should not orization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
Richa Tarol 526 S Cleve	ALL CORRESPONDENCE TO: ard S. Wesorick li, Sundheim, Covell & Tummino L.L.P. Superior Avenue, Suite 1111 eland, OH 44114 ed States of America	SIGNATURE Richard S. Wesorick NAME 40,871 REGISTRATION NUMBER							

JC14 Rec'd PCT/PTO 22 SEP 2005

Practitioner's Docket No. CWR-7781PCT/US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Arnold I. Caplan and James E. Dennis

Application No.:

TBD

Group No.:

TBD

Filed:

Concurrently Herewith

Examiner:

TBD

For:

CELL TARGETING METHODS AND COMPOSITIONS

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

Date of Deposit September 22, 2005

I hereby certify that the following attached papers and/or fee are being deposited with the United States Postal Service "on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- a Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) Concerning a Submission Under 35 USC 371 (2 pgs.);
- an unexecuted Declaration/Power of Attorney (1 pg.);
- 3. a Preliminary Amendment (34 pgs.);
- 4. a copy of an Assignment as Recorded on 10 Feb. 04, Reel 014319, Frame 0482 (14 pgs.);
- 5. a copy of the Int'l Search Report (21 pgs.);
- a copy of the Intl Appln. containing 53 pgs. of specification; 22 pgs. of claims; and EIGHT (8) Sheets of Drawings (Figs. 1-8); and
- 7. a check in the amount of \$3,450 to cover fees.

September	22,	2005	

Date Date

Anita J. Galo

(type or print name of person signing certification)

innature

NOTE: Each paper must have its own certificate of mailing or this certificate must identify each submitted paper.